

17114 U.S. PTO
041404

Attorney Docket No. 2271/72196
Total Pages 3
Express Mail Label No. EV325709788US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

17510 U.S. PTO
10/824227
041404

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Noboru SASA, Yoshitaka HAYASHI, Hirotaka KOMODA, Atsuyuki WATADA, Kawori TANAKA,
Toshishige FUJII and Hisamitsu KAMEZAKI

Inventor(s)

WRITE-ONCE-READ-MANY OPTICAL RECORDING MEDIA AND PROCESS FOR RECORDING AND
REPRODUCING INFORMATION ON THE MEDIA

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification, total pages 157 including:
 - a. 12 pages of claims (1-43 claims)
 - b. 1 page(s) Abstract
2. X 37 sheets of informal X formal drawings (Figs. 1-63)
3. Oath or declaration of Applicant(s) (total pages)
 - a. Newly executed (original)
 - b. Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. Power of Attorney
 - a. Newly executed (original)
 - b. Unexecuted
 - c. Copy from a prior application

10. ___ Information Disclosure Statement (IDS)/PTO-1449
___ Copies of IDS Citations
11. ___ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. ___ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27
14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--
16. X Priority of applications Nos. 2003-110867, 2003-112141, 2003-289428, 2003-317578, 2003-385810, 2004-064452 and 2004-066210 filed in Japan on April 15, 2003, April 16, 2003, August 7, 2003, September 9, 2003, November 14, 2003, March 8, 2004 and March 9, 2004. Applicants hereby claim priority under 35 U.S.C. §119.
- X A certified copy of priority applications Nos. 2003-110867, 2003-112141, 2003-289428, 2003-317578, 2003-385810, 2004-064452 and 2004-066210 will be submitted in due course.
- ___ A certified copy of priority application No. _____ has been filed in prior application S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	43 - 20	=	23	X	\$9	\$18	=	\$0	\$414.00
Indep. Claims	2 - 3	=	0	X	\$43	\$86	=	\$0	\$0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$145	\$290	=	\$0	\$0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$385.00	\$770.00
					Total Fee			\$	\$1,184.00

18. X A check in the amount of \$1,184.00 to cover the filing fee.
19. A check in the amount of \$ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. §1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. Other (identify) Express Mail Letter of Transmittal bearing label no. EV325709788US dated April 14, 2004.


CORRESPONDENCE ADDRESS:

Customer Number 23432
Ivan S. Kavrukov, Esq.
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of Americas
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

April 14, 2004

Date


Paul Teng, Reg. No. 40,837
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Noboru SASA, Yoshitaka HAYASHI, Hirotaka KOMODA, Atsuyuki WATADA, Kawori TANAKA, Toshishige FUJII and Hisamitsu KAMEZAKI

Serial No. : Not Yet Known

Date Filed : Herewith

For : WRITE-ONCE-READ-MANY OPTICAL RECORDING MEDIA AND PROCESS FOR RECORDING AND REPRODUCING INFORMATION ON THE MEDIA

Mail Stop Patent Application

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV325709788US

Date of Deposit: April 14, 2004

I hereby certify that the above-identified application of Noboru SASA et al., filed herewith, including Specification (157 pages), Claims (1-43, 12 pages), Abstract (1 page), 37 sheets of formal drawings (Figs. 1-63), application transmittal in triplicate, a check in the amount of \$1,184.00 for filing fee and a return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Jamel Rhaab
Jamel Rhaab

Mail Room Employee
Depositing Express Mail Material

Attorneys for Applicants:
IVAN S. KAVRUKOV, Reg. No. 25,161
PAUL TENG, Reg. No. 40,837
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel: (212) 278-0400